

Meiji University Export Control Confirmation of Transaction Sheet

This sheet is for Confirmation of Transaction as stipulated in Article 14 of Meiji University Export Control Regulation.

As a result of Determination (Sheet 2), **If additional confirmation is required** regarding Transactions (Export of Goods (Equipment, etc.) to overseas (Sending, carrying, etc.), Provision of Technology (Information) overseas, accepting and sending students, and Provision of technology (Information) to Non-Residents, etc.) with overseas, please confirm the Transactions by yourself **BEFOREHAND** and submit the result to the Director of Department, etc..

<Confirmation of Transaction procedure>
(1) In the "Destination of Transactions" column, please fill in the destination (destination in case of Export of Goods (Sending, carrying, etc.) or country / region of the recipient's address or residence in case of Provision of Technology (Information)).
(2) In the case where the destination is a country or region specified in Appended Table 3 in Export Trade Control Order (Note 1: see reference), the confirmation from [A] to [G] is not necessary, please write the date of confirmation and Department/School Affiliation in the Confirmation column, and sign or affix your name and seal..
(3) In the case where the destination is other than a country or region specified in Appended Table 3 in Export Trade Control Order, please confirm according to the instructions in the order of [A] (If you cannot decide, please check "Yes."). After all of them have been completed, please write the date of confirmation and Department/School Affiliation in the Confirmation column, and sign or affix your name and seal.

Destination of Transactions (The shipping address, the destination of the business trip, the country / region where the Non-Residents have an address or residence, etc.)

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[A] Application Confirmation (Weapons of Mass Destruction Catch-all Regulation)

Verify whether or not the following could be potential end-uses, using information such as on the website or brochures and submit this sheet with the related documents.

Development, manufacture, use or storage of nuclear weapons	<input type="checkbox"/> Yes. <input type="checkbox"/> No.	
Development, manufacture, use or storage of chemical warfare agents	<input type="checkbox"/> Yes. <input type="checkbox"/> No.	
Development, manufacture, use or storage of bacterial warfare agents	<input type="checkbox"/> Yes. <input type="checkbox"/> No.	
Development, manufacture, use or storage of the equipment for transportation of chemical/bacterial warfare agents	<input type="checkbox"/> Yes. <input type="checkbox"/> No.	
Development, manufacture, use or storage of the rockets with transportation distance over 300km	<input type="checkbox"/> Yes. <input type="checkbox"/> No.	
Development, manufacture, use or storage of the unmanned aerial vehicles with transportation distance over 300km	<input type="checkbox"/> Yes. <input type="checkbox"/> No.	
Appended Table Activities	(1) Development, manufacture, use or storage of nuclear fuel materials or nuclear source materials	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
	(2) Research on nuclear fusion	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
	(3) Development, manufacture, use or storage of nuclear reactors or components thereof	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
	(4) Deuterium water production	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
	(5) Nuclear fuel materials processing	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
	(6) Nuclear fuel reprocessing	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
	(7) Either of the following that is apparently conducted by or under entrustment from a government body administering the military or national defense affairs: a. Development or manufacture of chemical substances b. Development, manufacture, use or storage of microbes or toxins c. Development, manufacture, use or storage of the rockets or unmanned aerial vehicles d. Space research	<input type="checkbox"/> Yes. <input type="checkbox"/> No.

[B] Application Confirmation (Conventional Weapons Catch-all Regulation) * If the destination is United Nations Arms Embargo (Note 2: see reference)

Verify whether or not the following could be potential end-uses, using information such as on the website or brochures and submit this sheet with the related documents.

Development, manufacture, use or storage of Conventional Weapons (goods described in the middle field of the Appended Table 1 of the Export Trade Control Order, excluding those related to WMD)	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
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* If "Yes" is chosen for the question in [B], be sure to check the following questions in [C] as well. Otherwise, please check the questions in [D].

[C] Application Requirements Exclusion Confirmation

When the result of the confirmation is "Yes." in [B], please confirm the following and submit this sheet with the related documents.

(1) The goods designated in the appended tables (Note 3: see reference) that are developed, or otherwise, using the goods/technologies to be screened, are stated/recorded in a document or other media that these goods will be provided for industrial, entertainment, sports, hunting or life-saving uses. In addition, you have been informed by the importers that the goods specified by the exporters in the said tables will be used for such uses.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(2) Based on the government-to-government agreement between Japan and the United States of America for acquisition and crossservicing between Japan's Self-Defense Force (SDF) and the US Forces (USF), the SDF export/provide goods/services.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(3) Goods are exported to be provided for use in the maritime security operation pursuant to the Self-Defense Forces Act.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(4) Goods/services are exported/provided for use in transporting overseas Japanese citizens pursuant to the Self-Defense Forces Act.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(5) Goods/services are exported/provided for use in transporting state guests pursuant to the Self-Defense Forces Act.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.

(6) Goods/services are exported/provided for use in the International Disaster Relief Activities pursuant to the Act concerning Dispatch of Japan Disaster Relief Team.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(7) Goods/services are exported/provided for use the International Peace Cooperation Assignments pursuant to the Act on Cooperation with United Nations Peacekeeping Operations and Other Operations.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(8) Goods are exported to be provided for use in responding to act of piracy pursuant to the act on punishing and responding to act of piracy.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(9) Goods/services are exported/provided for use in the refilling support operations pursuant to the act on special measures concerning implementation of the refilling support operations for counterterrorism maritime interdiction operations.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
(10) Goods/services are exported/provided for use in the responding measures pursuant to the Act on Special Measures concerning Humanitarian and Reconstruction Assistance in Iraq.	<input type="checkbox"/> Yes. <input type="checkbox"/> No.

[D] End User List Check

Fill in the name of the Transaction Partner and check if the Transaction Partner is found in the End User List. *The End User List is available on the website of the Ministry of Economy, Trade and Industry (METI). http://www.meti.go.jp/policy/anpo/law05.html#user-list .	
Transaction Partner Name	The Transaction Partner is found in the End User List. <input type="checkbox"/> Yes. <input type="checkbox"/> No.

*If the result is "Yes." please proceed to [F]. Otherwise, please check the questions in [E].

[E] Customer Requirements Confirmation

Verify whether or not that information to reveal that the demander/end-user is conducting or have conducted either of the following activities is stated/recorded on the website or in a document or other media obtained, or, your counterpart so informed you: And submit this sheet with the related documents.	
Development, manufacture, use or storage of nuclear weapons	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
Development, manufacture, use or storage of chemical warfare agents	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
Development, manufacture, use or storage of bacterial warfare agents	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
Development, manufacture, use or storage of the equipment for transportation of chemical/bacterial warfare agents	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
Development, manufacture, use or storage of the rockets with transportation distance over 300km	<input type="checkbox"/> Yes. <input type="checkbox"/> No.
Development, manufacture, use or storage of the unmanned aerial vehicles with transportation distance over 300km	<input type="checkbox"/> Yes. <input type="checkbox"/> No.

*If even one of the result is "Yes.", proceed to [F]. For all "No.", the confirmation is done.

[F] Apparent Guideline Checklist

If the result of confirmation is "Yes." even one in [D] or [E], check the following items. If the question is not relevant to the Transactions, check "N/A". (In the case of Provision of Technology, etc., replace "importer" with "contractor" "Expected Receipts" etc.)	
End-uses / specifications of the goods	(1) End-uses of such goods are distinctively explained by the importer/demander or agents thereof. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(2) There exists a rational reason for needing such goods in the context of demander/end-user's business and/or level of the technology. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
Conditions for installation (space / location, mounting, etc.) of the goods	(3) The space/location for installation and/or use is distinctively explained. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(4) We do not have any information telling us that the installation/end-use place of the goods is in an area requiring high confidentiality such as inside or adjacent to a military facility or of restricted access, and that the end-use leaves a trace of doubt. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(5) Excessive safety gear/measures are not requested for transfer/installation of the goods. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
Conditions / specifications of related facilities / equipment for the goods	(6) The facility for use of the goods or raw materials to be handled together is explained. And Combination of the goods and the facilities for use of the goods or raw materials to be handled together is reasonable and consistent in light of the end-use of the goods. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(7) Unusually excessive volume of spare parts, etc. have not been requested. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(8) Related equipment that is normally necessary has been requested. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
Labeling, shipment and packaging specifications	(9) No special order for labeling and shipment for transportation has been placed. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(10) The transportation route is quite normal considering the goods and destination. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(11) The packaging for transportation and labeling for the package is quite normal considering the goods and destination. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
Conditions for the price payment / warranty of the goods	(12) Excessively favorable offer has not been made for the payment value/terms/method. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(13) Performance warranty to a normal extent has been requested. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
Manner of installation refusal and confidentiality keeping	(14) Normally expected dispatch of experts for installation and instruction has been requested. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
	(15) Confidentiality of the final destination or the end-product has not been requested to an excessive extent. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
Company / organization in the End User List	(16) For the transaction with a company/organization in the Foreign End User List, the "Type of WMD (nuclear/biological/chemical weapons and missiles)" does not match that of the type of concerned end-use of the goods to export (to be judged from characteristics of the goods to be exported by reference to the Commodity Watch List for WMD Catch-All). <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A
Others	(17) Additionally, there are no such questionable aspects in the transaction that a question about things that the demander/end-user is obviously supposed to answer based on the business practice have not been answered. <input type="checkbox"/> Yes. <input type="checkbox"/> No. <input type="checkbox"/> N/A

Reference: Security Trade Control by METI <http://www.meti.go.jp/policy/anpo/>

Note 1: Countries or regions specified in appended table 3 of the Export Trade Control Order

(Please check the following page when filling in.)

Argentina, Australia, Austria, Belgium, Bulgaria, Canada, Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Luxembourg, Netherlands, New Zealand, Norway, Poland, Portugal, Spain, Sweden, Switzerland, the United Kingdom, the United States of America

Note 2: United Nations Arms Embargo (Countries or regions specified in Appended Table 3-2 of the Export Trade Control Order)

Afghanistan, Central Africa, Democratic Republic of the Congo, Eritrea, Iraq, Lebanon, Libya, North Korea, Somalia, Sudan

Note 3: Appended Table 1 of the application requirement exclusion confirmation

(i) Firearms or ammunition therefor (including those used in the emission of light or smoke) of the following, or parts thereof:

(1) Air guns, shotguns, rifles, harquebuses or ammunition therefor; or

(2) life-saving guns, harpoon guns, rivet guns or other guns for similar industrial uses or ammunition therefor.

(ii) Torpedoes for industrial use

(iii) Explosives for industrial use or initiating devices thereof

Confirmation

The above statement is true and correct.

Date Year Month Days

Department/School Affiliation:

Name: seal

*Signature or name and seal

(office use column)		
(Appropriate) Supervisor	The Director of Department, etc.	Office
<input type="text"/>	<input type="text"/>	<input type="text"/>
/ /	/ /	/ /
Concern [YES / NO / UNKNOWN]		No.